

## ♣ Distribution-Free (No Statistics) Classification

Objective: Determine a function in feature space that specifies the membership in each class, partitioning the feature space into mutually exclusive regions by non-statistical criteria.

→ **Discriminant Functions** (or Characteristic Functions)  $\Rightarrow g_k(\underline{x})$

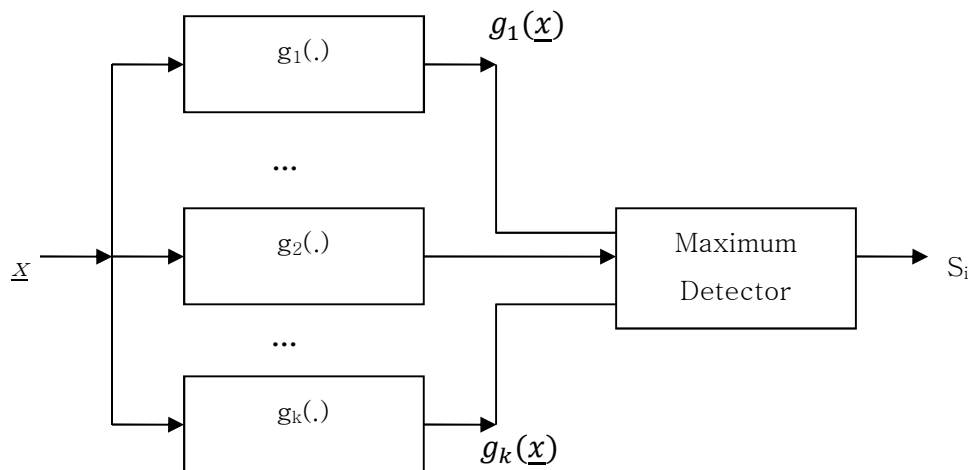
### Fundamentals

$g_k(\underline{x})$  for  $k=1,2,\dots,K$

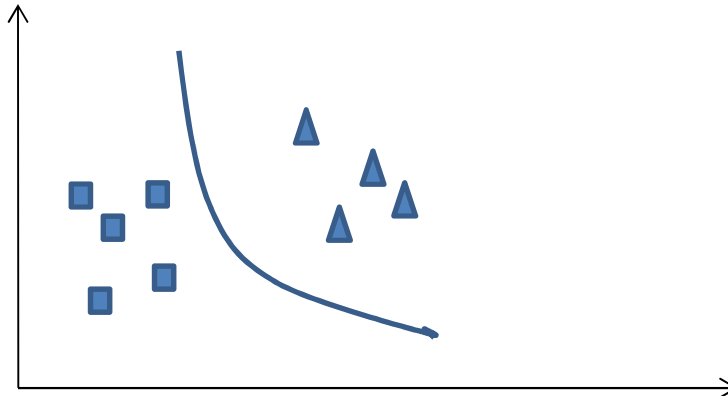
A point  $\underline{x}$  is a member of class  $S_i$

iff  $g_i(\underline{x}) > g_j(\underline{x}) \forall j \neq i \leftarrow$  Classification rule

Classification System



Feature Space  $N=2$



Separating surfaces or decision boundaries defined by  $g_k(\underline{x}) - g_j(\underline{x}) = 0$  separates  $S_k$  from  $S_j$ .

There are  $K$  classes  $\Rightarrow \frac{K(K-1)}{2}$  possible decision boundaries, but some may be redundant (e.g.  $S_3$ - $S_4$  above)

Note:  $g$ 's are not unique

Can add constant to all  $g_k$ 's:

$$g_k(\underline{x}) \leftrightarrow g_j(\underline{x})$$

$$g_k(\underline{x}) + C \leftrightarrow g_j(\underline{x}) + C$$

Can define new  $g$ 's:

$$g'_k(\underline{x}) = f(g_k(\underline{x}))$$

$$g'_j(\underline{x}) = f(g_j(\underline{x}))$$

where  $f$  is a monotonic and increasing function

### Let's try Distance Functions

Measure of the distance from an unknown  $\underline{x}$  to a class  $S_k$ :

$$D[\underline{x}, \{y_m^{(k)}\}] = \frac{1}{M_k} \sum_1^{M_k} d^2(\underline{x}, y_m^{(k)})$$

This is Mean Square Distance

$d$  might be Euclidean distance

### Properties of Distance Functions

A distance function must satisfy:

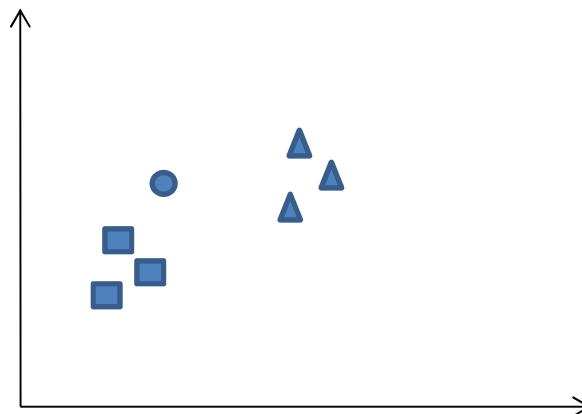
1.  $d(\underline{x}, \underline{y}) = d(\underline{y}, \underline{x})$
2.  $d(\underline{x}, \underline{y}) \leq d(\underline{x}, \underline{z}) + d(\underline{z}, \underline{y})$
3.  $d(\underline{x}, \underline{y}) = 0$ , iff  $\underline{x} = \underline{y}$
4.  $d(\underline{x}, \underline{y}) \geq 0 \forall \underline{x}, \underline{y}$

**Example:** N=2 classes, Minimum-distance-to-class classifier

$$d(\underline{x}, S_1) < d(\underline{x}, S_2) \Rightarrow \underline{x} \in S_1$$

Let  $g_k(\underline{x}) = -d(\underline{x}, S_k)$

$$g_1(\underline{x}) > g_2(\underline{x}) \Rightarrow \underline{x} \in S_1$$

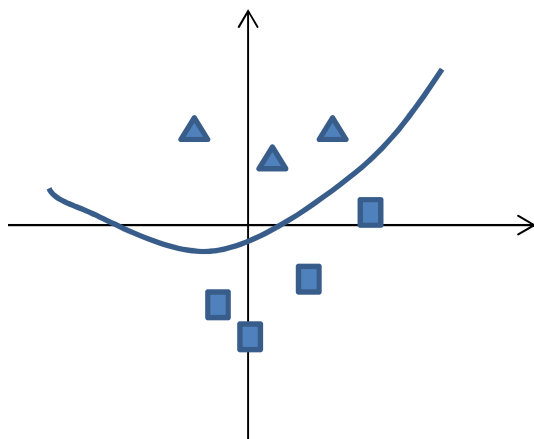


**Decision rule for a 2-class problem**

Let  $g(\underline{x})=g_1(\underline{x})-g_2(\underline{x})$

If  $g(\underline{x})>0$ ,  $\underline{x} \in S_1$

If  $g(\underline{x})<0$ ,  $\underline{x} \in S_2$



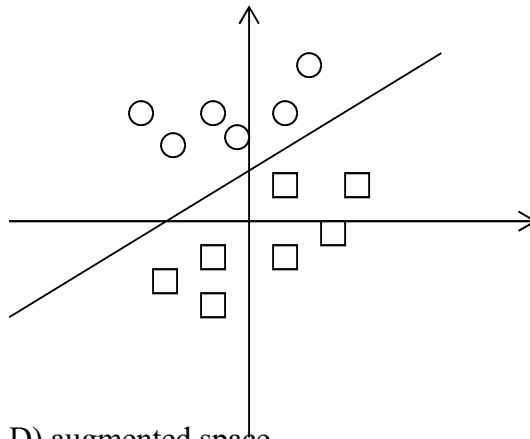
## Linear Discriminant Functions

$$g_k(\underline{x}) = w_1^{(k)}x_1 + w_2^{(k)}x_2 + \dots + w_N^{(k)}x_N + w_{N+1}^{(k)}$$

$$g_k(\underline{x}) = \underline{w}^T \underline{x} + w_{N+1}^{(k)} = \underline{w}^{(a)} \underline{x}^{(a)}$$

$$\underline{x}^{(a)} = \begin{bmatrix} x_1 \\ \vdots \\ x_N \\ 1 \end{bmatrix} = \text{input vector in augmented, (a), space}$$

- Superscript (a) is often suppressed



$x_3=1$  plane in (3-D) augmented space.

Linear decision surface:

2-D, Line

3-D, plane

N-D, hyperplane (N-1)-D

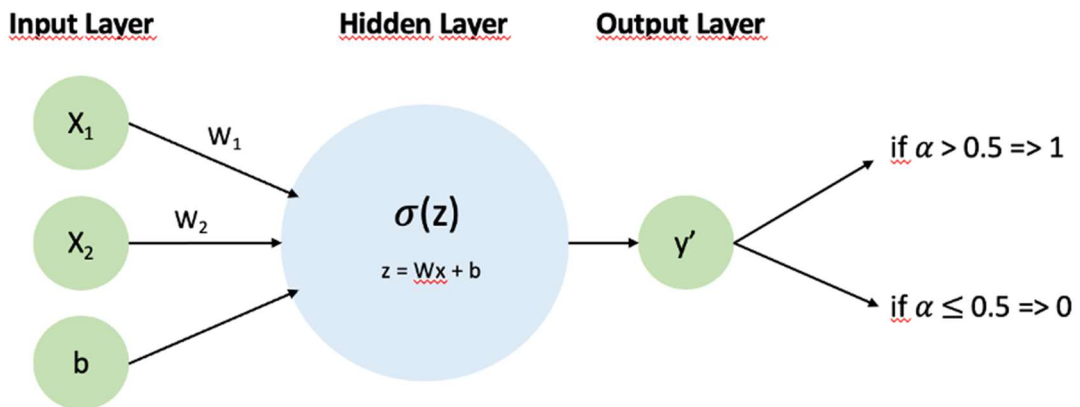
### Example: Two-Class Problem

For a 2-class problem:

$$\begin{aligned}g(\underline{x}) &= g_1(\underline{x}) - g_2(\underline{x}) \\ &= (\underline{w}_1^T \underline{x} + w_{N+1}^{(1)}) - (\underline{w}_2^T \underline{x} + w_{N+1}^{(2)}) \\ &= (\underline{w}_1^T - \underline{w}_2^T) \underline{x} + (w_{N+1}^{(1)} - w_{N+1}^{(2)}) \\ g(\underline{x}) &= \underline{w}^T \underline{x} + w_{N+1}\end{aligned}$$

### A Single Neuron Model

- Calculate  $g$  for 2-class problem:

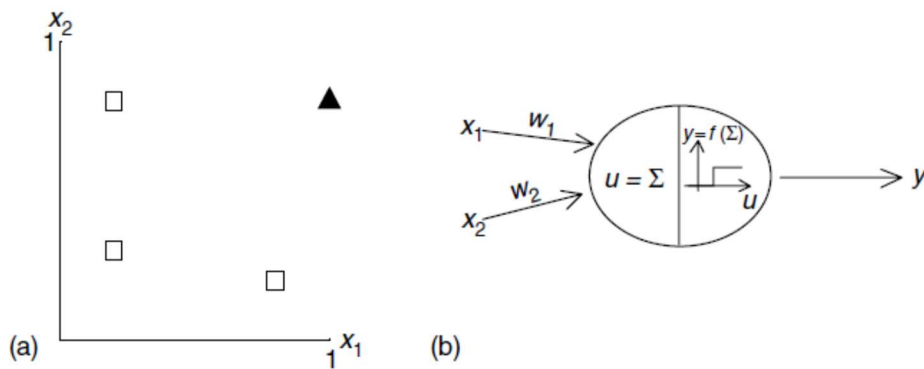


- Compare to the 2-class linear classifier

Ex.) Find a linear classifier for the following data

**Table 2.1 Classification Data**

$x_1$	$x_2$	$t$
0.2	0.3	0
0.2	0.8	0
0.8	0.2	0
1.0	0.8	1



**Figure 2.6 Classification by a threshold neuron: (a) classification data and (b) configuration of a linear threshold neuron for this task.**

*Inputs and weights as vectors.* For simplicity, vector notation will be used. In this notation, an input vector is represented by upper case  $\mathbf{x}$  as

$$\mathbf{x} = \{x_1, x_2\}.$$

Thus, the four input vectors can be represented as

$$\mathbf{x}_1 = \{0.2, 0.3\}, \mathbf{x}_2 = \{0.2, 0.8\}, \mathbf{x}_3 = \{0.8, 0.2\}, \mathbf{x}_4 = \{1.0, 0.8\}.$$

Similarly, the weight vector can be denoted in vector form as

$$\mathbf{w} = \{w_1, w_2\}.$$

The four input vectors and the weight vector are graphically presented in Figure 2.8.

The weighted sum of the inputs,  $u = x_1.w_1 + x_2.w_2$ , can be represented as multiplication of the input and weight vectors or dot product as

$$\begin{aligned} u &= \mathbf{w} \cdot \mathbf{x} = \{w_1, w_2\} \cdot \{x_1, x_2\} \\ &= w_1x_1 + w_2x_2 \end{aligned}$$

For  $\mathbf{w} = \{1, 1\}$ ,  $u = x_1 + x_2$ .

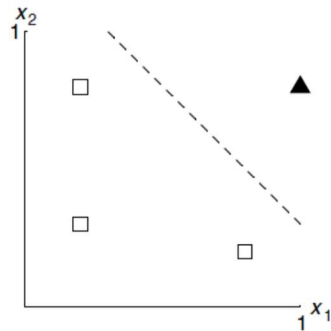


Figure 2.7 Classification boundary of the threshold neuron superimposed on the data.

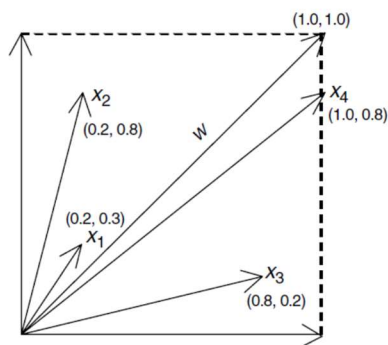


Figure 2.8 Representation of the input data and the weights as vectors.